|   |  | •   |                                   | AD4 E Daa'd DCT/BTC                | 25 1111 2006      |  |  |  |  |  |
|---|--|---|-----------------------------------|------------------------------------|-------------------|--|--|--|--|--|
| FORM  | M PTO-<br>IFIED  | 1390 JUS. DEPARTMENT OF COMMERCE PAT  | ENT AND TRADEMARK OFFICE          | TATTORNEYS.OOCKETNUMBER-<br>622-   |                   |  |  |  |  |  |
|   |  | TRANSMITTAL LETTER TO THE UN  |                                   | U.S. APPLICATION NO. (If known, so | ee 37 C.F.R. 1.5) |  |  |  |  |  |
|   |  | DESIGNATED/ELECTED OFFICE CONCERNING A FILING UNDER 3   | •                                 | 10/576,                            | 194               |  |  |  |  |  |
| INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED   |  |   |                                   |                                    |                   |  |  |  |  |  |
| PCT/EP2004/011667 14 October 2004 17 October 2003   |  |   |                                   |                                    |                   |  |  |  |  |  |
|   | TITLE OF INVENTION AZOLE DERIVATIVE USEFUL AS ANTIFUNGAL AGENTS WITH REDUCED INTERACTION WITH METABOLIC CYTOCHROMES  |   |                                   |                                    |                   |  |  |  |  |  |
| APF   | APPLICANT(S) FOR DO/EO/US  PINORI et al  |   |                                   |                                    |                   |  |  |  |  |  |
| Δnn   | licant   | herewith submits to the United States Design  |                                   | S) the following items and oth     | ner information:  |  |  |  |  |  |
|   | Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  1.   This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. |   |                                   |                                    |                   |  |  |  |  |  |
| 2.  | ⊠  | This is a SECOND or SUBSEQUENT subm   | •                                 |                                    | <b>'1</b> .       |  |  |  |  |  |
| 3.  |  |   | _                                 |                                    |                   |  |  |  |  |  |
| ٥.  | _  | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.   |                                   |                                    |                   |  |  |  |  |  |
| 4.  |  | The U.S. has been elected (Article 31).   |                                   |                                    |                   |  |  |  |  |  |
| 5.  | A co   | py of the International Application as filed (35  | U.S.C. 371(c)(2).                 |                                    |                   |  |  |  |  |  |
|   | a.   | is attached hereto ( pages speci  | fication, claims & abstract (     | claims), sheets dra                | wings).           |  |  |  |  |  |
|   | b.  has been communicated by the International Bureau.   |   |                                   |                                    |                   |  |  |  |  |  |
|   | c.  is not required, as the application was filed in the United States Receiving Office (RO/US).   |   |                                   |                                    |                   |  |  |  |  |  |
| 6.  | 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(3))   |   |                                   |                                    |                   |  |  |  |  |  |
|   | a. $\square$ is attached hereto ( pages specification, claims & abstract ( claims), sheets drawings, page Certificate of Translation).   |   |                                   |                                    |                   |  |  |  |  |  |
|   | b.  has been previously submitted under 35 U.S.C. 154(d)(4).   |   |                                   |                                    |                   |  |  |  |  |  |
| 7.  | 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)   |   |                                   |                                    |                   |  |  |  |  |  |
|   | a.   are attached hereto (required only if not communicated by the International Bureau).  |   |                                   |                                    |                   |  |  |  |  |  |
|   | b.  have been communicated by the International Bureau.  |   |                                   |                                    |                   |  |  |  |  |  |
|   | c.   | have not been made; however, the time limit for making such amendments has <b>NOT</b> expired.  |                                   |                                    |                   |  |  |  |  |  |
|   | d.   | have not been made and will not be made.  |                                   |                                    |                   |  |  |  |  |  |
| 8.  |  | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3).  |                                   |                                    |                   |  |  |  |  |  |
| 9.  | a.   | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4).   |                                   |                                    |                   |  |  |  |  |  |
|   | b.<br>Forn   | Declaration was submitted to the International Bureau during International Phase (see copies of Declaration ( page form PCT/RO/101 and Form PCT/IB/371 and first page of printed publication acknowledging receipt thereof attached). |                                   |                                    |                   |  |  |  |  |  |
| 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5). |  |   |                                   |                                    |                   |  |  |  |  |  |
|   | Item   | s 11 To 20 below concern document(s) or   | information included:             |                                    |                   |  |  |  |  |  |
| 11.   |  | An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.  |                                   |                                    |                   |  |  |  |  |  |
| 12.   |  | An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.  |                                   |                                    |                   |  |  |  |  |  |
| 13.   |  |   |                                   |                                    |                   |  |  |  |  |  |
|   | b. A SECOND or SUBSEQUENT preliminary amendment.   |   |                                   |                                    |                   |  |  |  |  |  |
| 14.   |  | An Application Data Sheet under 37 C.F.R. § 1.76.   |                                   |                                    |                   |  |  |  |  |  |
| 15.   |  | A substitute specification.   |                                   |                                    |                   |  |  |  |  |  |
| 16.   |  | A change of power of attorney and/or address letter.  |                                   |                                    |                   |  |  |  |  |  |
| 17.   |  | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.  |                                   |                                    |                   |  |  |  |  |  |
| 18.   |  | A second copy of the published international application under 35 U.S.C. 154(d)(4).   |                                   |                                    |                   |  |  |  |  |  |
| 19.   |  | A second copy of the English language trans   | slation of the international appl | lication under 35 U.S.C. 154(d     | d)(4).            |  |  |  |  |  |

Other items or information. Executed Exclusive Substitute Power of Attorney

20.

| U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)<br>10/576,194  |  |          |          |               | INTERNATIONAL APPLICATION NO. PCT/EP2004/011667       |                      |                      | ATTORNEY'S DOCKET NUMBER 622-93 |              |                        |            |      |      |      |   |
|---|--|----------|----------|---------------|---|----------------------|----------------------|---------------------------------|--------------|------------------------|------------|------|------|------|---|
| <u> </u>  | The follow   |          |          | 'A SII        | hmitted:  |                      |                      | 01721 200470                    | 1100         | <u> </u>               |            |      |      | _    |   |
|   |  |          |          |               |   | 92(a)(1)-(5):        |                      |                                 |              | <del>-</del>           |            |      |      |      |   |
| 21.   |  |          |          |               |   |                      |                      | \$30                            | 0.00 (       | 1631)/\$150.00         | (2631)     | \$   | 0.00 |      |   |
| 22.   | Examina  | ation Fe | e        |               |   |                      |                      |                                 |              | \$0 (1643              | /2643)     | П    |      |      |   |
|   |  |          |          |               |   |                      |                      |                                 |              | 1633)/\$100.00         |            | \$   | 0.00 |      |   |
| 23. 🔲   |  |          |          |               |   |                      |                      |                                 |              | \$0 (1640              |            |      |      |      |   |
|   |  |          |          |               |   |                      |                      |                                 |              | 00 (1641)/\$50         |            |      |      |      |   |
|   |  |          |          |               |   |                      |                      |                                 |              | 00 (1642)/\$200        |            |      |      |      |   |
|   |  |          | •••••    |               |   |                      | ••••                 |                                 |              | 1632)/\$250.00         |            | \$   | 0.00 |      |   |
|   |  |          |          |               |   |                      |                      | TOTAL OF                        | ABO          | VE CALCULA             | TIONS      | \$   | 0.00 |      |   |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |  |          |          |               |   |                      |                      |                                 |              |                        |            |      |      |      |   |
| Total Sheets Extra Sheets Number of ea  |  |          |          |               | each additional 50 or RATE                            |                      |                      |                                 |              | П                      |            |      |      |      |   |
| fraction the number   |  |          |          | nereot (rouna | ereof (round <b>up</b> to a whole                     |                      |                      |                                 |              | Ш                      |            |      |      |      |   |
| 21  | 21 -100 0 /50 = 0.00   |          |          | 0.00          |   | <b>\$0.00</b> (1681) |                      |                                 |              |                        | \$         | 0.00 |      |      |   |
|   |  |          |          |               |   |                      | <b>\$0.00</b> (2681) |                                 |              |                        |            |      |      |      |   |
|   |  |          | <u> </u> | · · · · ·     | L   |                      | -                    | , .                             |              |                        | 7.00       | H    |      | cq · |   |
|   |  |          |          |               |   |                      |                      | he oath or de                   | clarati      | on later than [        | 30 months  |      | 0.00 |      |   |
|   |  |          |          |               |   | F.R. 1.492(          | e).                  |                                 |              | RATE                   |            | \$   | 0.00 |      |   |
| Total Cla   | AIMS   |          | 10<br>10 |               | FILED<br>inus 20                                      | # EXTRA<br>0 X       | ¢,                   | 50.00 (1615)/                   | -            | \$25.00 (2615          | <u> </u>   | \$   | 0.00 | _    |   |
| 10tai Oie   | ZIIIIS   |          |          | 1110          | =   | V X                  | ý                    | 30.00 (1013 <i>)</i> ;          |              |                        |            |      | 0.00 |      |   |
|   | dent Clair   |          | 2        |               | minus 3 = 0 X \$200.00 (1614) \$100.00 (2614) \$ 0.00 |                      |                      |                                 |              |                        |            |      |      |      |   |
|   |  |          |          |               |   |                      |                      |                                 |              |                        |            | \$   | 0.00 |      |   |
| attachme  | etition is hereby made to extend the current due date so as to cover the filing date of this paper and ttachment(s): One Month Extension \$120.00 (1251)/\$60.00 (2251); Two Month Extensions \$450.00 (1252)/\$225.00 (2252); Three Month Extensions \$1020.00 (1253/\$510.00 (2253); Four Month Extensions |          |          |               |   |                      |                      |                                 |              |                        |            |      |      |      |   |
|   | 225.00 (2<br>0 (1254/\$  |          |          |               | ith Extens  | sions \$1020.        | 00                   | (1253/\$510.00                  | ) (225       | 3); Four Month         | Extensions | \$   | 0.00 |      |   |
| ☐ Appl  | Applicant claims small entity status. See 37 CFR 1.27.   |          |          |               |   |                      |                      |                                 |              |                        |            |      |      |      |   |
| Processing fee of \$130.00 (1618), for furnishing the English Translation later than 20 months from the earliest claimed priority date (37 C.F.R. 1.492(f).   |  |          |          |               |   |                      | 0.00                 |                                 |              |                        |            |      |      |      |   |
| months  | nom the e  | samest   | Ciaii    | nea j         | priority de   | 10 (07 0.1 .11       |                      | 40Z(I).                         |              | TOTAL NATI             | ONAL FEE = | \$   | 0.00 |      |   |
| Fee for r   | Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by  |          |          |               |   |                      |                      |                                 | Ħ            |                        |            |      |      |      |   |
|   |  |          |          |               |   |                      |                      | (8021) per pre                  |              |                        |            | \$   | 0.00 |      |   |
| Fee for F   | Petition to  | Revive   | e Uni    | inten         | tionally A  | bandoned A           | ppl                  | cation;\$1500.                  | 00 (14       | 453) / <b>\$750.00</b> | (2453)     | \$   | 0.00 |      |   |
|   |  |          |          |               |   |                      |                      |                                 | TOT          | TAL FEES EN            | ICLOSED =  | \$   | 0.00 |      |   |
|   |  |          |          |               |   |                      | ·                    | Arr                             | nount to be: |                        |            |      |      |      |   |
|   |  |          |          |               |   |                      |                      |                                 | _ <u>_</u>   | refunded: \$           |            |      |      |      |   |
|   |  |          |          |               |   |                      |                      |                                 |              | nount to be            | ا ا        |      |      |      |   |
|   |  |          |          |               |   |                      | Cr                   | arged:                          | \$           |                        |            |      |      |      |   |
|   |  |          |          |               |   |                      |                      |                                 |              |                        |            |      |      |      |   |
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|   |  |          |          |               |   |                      |                      |                                 |              |                        |            |      |      |      | ı |
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|   |  |          |          |               |   |                      |                      |                                 |              |                        |            |      |      |      | ı |
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|   |  |          |          |               |   |                      |                      |                                 |              |                        |            |      |      |      | ı |
|   |  |          |          |               |   |                      |                      |                                 |              |                        |            |      |      |      | ı |
| U.S. A  | pplicat  | ion N    | 0.10     | <u>)/5</u> 76 | 5,194; At   | ty Docket            | : N                  | O.622-93                        |              |                        |            |      |      |      |   |
| а. П  | a.  A check in the amount of \$0.00 to cover the above fees is enclosed.   |          |          |               |   |                      |                      |                                 |              |                        |            |      |      |      |   |

| b. Please charge my Deposit Account No. 14-1140 in the amount of \$ to cover the above fees.                                    |  |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|--|
| A duplicate copy of this form is enclosed.  |  |  |  |  |  |  |  |  |
| c. 🛛 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any                   |  |  |  |  |  |  |  |  |
| overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed.  |  |  |  |  |  |  |  |  |
| CREDIT CARD PAYMENT FORM ATTACHED.  |  |  |  |  |  |  |  |  |
| d.  |  |  |  |  |  |  |  |  |
| thereto, and MI2003A002020, referred to in this application is/are hereby incorporated by reference in this application.        |  |  |  |  |  |  |  |  |
| NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) |  |  |  |  |  |  |  |  |
| or (b) must be filed and granted to restore the application to pending status.  |  |  |  |  |  |  |  |  |
| CORRESPONDENCE ADDRESS  |  |  |  |  |  |  |  |  |
| Direct all correspondence to:   |  |  |  |  |  |  |  |  |
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| 29,009 July 25, 2006  |  |  |  |  |  |  |  |  |
| REGISTRATION NUMBER Date  |  |  |  |  |  |  |  |  |